# Microstructure of $Si_3N_4$ Whisker Prepared from Diatomaceous Earth

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## Abstract

Silicon nitride whiskers were prepared by carbothermal reduction and nitridation of diatomaceous earth at temperature of 1350°C under the presence of nitrogen and ammonia. Scanning and transmission electron microscopy (SEM), electron probe microanalysis (TEM) and X-ray diffraction were used to characterize the microstructure. SEM studies indicated that the whiskers were quite straight and hexagonal in cross-section. The whiskers were found to be highly crystalline, as determined by TEM analysis.

Siliciumnitridwhisker wurden durch carbothermale Reduktion und Nitrierung von Diatomeenerde bei 1350°C in Gegenwart von Stickstoff und Ammoniak hergestellt. Raster- und Transmissionselektronenmikroskopie, Mikrosondenanalyse (REM and TEM) und Röntgendiffraktometrie wurden angewandt, um die Mikrostruktur zu charakterisieren. REM Untersuchungen deuteten darauf hin, daß die Whisker sehr gerade waren und einen hexagonalen Querschnitt hatten. Die Whisker stellten sich bei der TEM-Analyse als sehr kristallin heraus.

Des trichites de nitrure de silicium ont été préparés par carboréduction et nitruration de terres à diatomées à une température de 1350°C en présence d'azote et d'ammoniac. La microscopie électronique à transmission et à balayage (MET), la microsonde électronique (MEB) et la diffraction des rayons X ont été utilisées pour caractérise la microstructure. Les

\* To whom correspondence should be addressed at: Department of Applied Chemistry, Faculty of Engineering, Oita University, Oita 870-11, Japan. études par MEB indiquent que les whiskers sont droits et présentent une section hexagonale. Comme le montre l'analyse par MET, les trichites sont parfaitement cristallisés.

## 1 Introduction

Silicon nitride whiskers have many excellent engineering and chemical properties, such as high strength, good thermal shock resistance, and high tensile strength.  $Si_3N_4$  powder has been prepared from a number of silicon sources;<sup>1</sup> however, studies of  $Si_3N_4$  whisker formation are very limited. Saito and coworkers<sup>2.3</sup> studied the vapor phase growth of  $Si_3N_4$  whiskers by the nitridation of the SiO<sub>2</sub>-C-Na<sub>3</sub>AlF<sub>6</sub> system in flowing N<sub>2</sub> gas at 1350–1450°C. They reported that the addition of fluorides accelerated the carbothermal reduction of SiO<sub>2</sub>.

Motojima *et al.*<sup>4</sup> obtained whiskers and regularly coiled spring-like fibers of Si<sub>3</sub>N<sub>4</sub> from a gas mixture of Si<sub>2</sub>Cl<sub>6</sub>, NH<sub>3</sub> and H<sub>2</sub> on a quartz or graphite substrate at 1200°C. The whiskers were  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> accompanied by a small amount of  $\beta$ -Si<sub>3</sub>N<sub>4</sub>, but the spring-like fibers were amorphous Si<sub>3</sub>N<sub>4</sub>. They suggested that the whiskers grew by the vapor– liquid–solid (VLS) mechanism.<sup>5</sup> However, Si<sub>3</sub>N<sub>4</sub> whiskers, obtained from these methods, are likely to be relatively expensive, because they require pure or very expensive raw materials.

In the authors' previous work,<sup>6</sup> it was reported that fiber-like  $Si_3N_4$  was produced by the carbothermal reduction and nitridation of diatomaceous earth at 1350°C in the presence of carbon. It was the first work of its kind; preparation of  $Si_3N_4$  fibers from crude materials such as diatomaceous earth. Moreover, it was revealed that Fe contained in the diatomaceous earth was beneficial to the growth of  $Si_3N_4$  fibers. The purpose of this study is to characterize the structural and chemical properties of the  $Si_3N_4$  whiskers, which were produced from diatomaceous earth.

#### 2 Experimental

The diatomaceous earth, produced in Oita prefecture, is composed of 82.5 wt% SiO<sub>2</sub>, 5.69 wt%Al<sub>2</sub>O<sub>3</sub>, and very small amounts of metal oxides (Table 1). The diatomaceous earth was calcined at 1000–1200°C in a stream of air to remove organic impurities.

The authors used the same apparatus as in their previous experiment, shown schematically in Fig. 1. A mixture of high purity  $NH_3$  and  $N_2$  (99·99%), obtained commercially, was introduced directly into the reaction zone without further purification. A 0·5g sample of diatomaceous earth was charged on a carbon plate and was reduced and nitrided in a stream of  $N_2$  (8·8 cm min<sup>-1</sup>) and  $NH_3$ (26·4 cm min<sup>-1</sup>) at 1350°C for 24 h. After the completion of the reaction, the remaining carbon in Si<sub>3</sub>N<sub>4</sub> was oxidized in air at 700°C.

Structural properties of the products and their weight fractions of  $\alpha$ - and  $\beta$ -Si<sub>3</sub>N<sub>4</sub> were determined by X-ray powder diffractometry (Model CN 2013, Rigaku Ltd, Tokyo, Japan) with CuK<sub>x</sub> radiation using the method of Gazzara & Messier.<sup>7</sup> The

 Table 1. Chemical properties of the diatomaceous earth produced in Oita prefecture

	Untreated	Calcined <sup>a</sup>
Surface area $(m^2 g^{-1})$ Chemical composition (wt%)	37.2	7.1
SiO,	82.5	89.2
$Al_2 \tilde{O}_3$	5.69	5.70
Fe <sub>2</sub> O <sub>3</sub>	1.17	1.76
MnO	0.016	0.011
MgO	0.23	0.20
CaO	0.97	0.87
TiO,	0.24	0.25
Na 20	0.51	0.46
K <sub>2</sub> Ô	0.31	0.23
Ignition loss	5.86	0.28

<sup>a</sup> At 1000–1200°C in air.

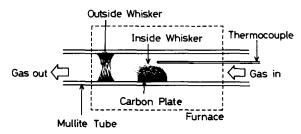


Fig. 1. Schematic diagram of the apparatus and regions of  $Si_3N_4$  whisker and powder product.

morphology and composition of the  $Si_3N_4$  whiskers were investigated by scanning electron microscopy (SEM; Model H900, Hitachi Ltd, Tokyo, Japan) and energy dispersive X-ray spectroscopy (EDX; Model TN 5500, Tracor Northern, Middleton, WI, USA). Specimens were prepared by mounting the whiskers onto a sample stage, followed by Au or carbon coating. Transmission electron microscopy (TEM; Model JEM 2000 FX, Jeol Ltd, Tokyo, Japan) specimens were prepared by dipping the grid into an ultrasonically dispersed mixture of whiskers and ethanol. Whiskers were observed using bright-field imaging. Selected area diffraction was used to determine crystallographic orientation by stereographic techniques.<sup>8</sup> Surface area of the samples was measured by the BET technique using  $N_2$ .

#### **3** Results and Discussion

 $Si_3N_4$  powder was obtained on the original carbon plate. Short needle-like Si<sub>3</sub>N<sub>4</sub> whiskers (inside whiskers) covered the Si<sub>3</sub>N<sub>4</sub> powder, and wool-like whiskers formed on the wall of the mullite tube at the lower reach of the  $N_2$ -NH<sub>3</sub> flow (outside whiskers) (Fig. 1). In this method, the total yield of  $Si_3N_4$  formed reached 83%, and 0.139 g whiskers was obtained from 1.0 g diatomaceous earth. All of the nitridation products were  $\alpha$ -phase-rich Si<sub>3</sub>N<sub>4</sub>. Figure 2 shows the X-ray diffraction patterns of the products obtained from carbothermal reduction and nitridation of diatomaceous earth. The phase composition of  $\alpha$ - and  $\beta$ -Si<sub>3</sub>N<sub>4</sub> in each product depends strongly on the nitridation temperature and deposit position,<sup>6</sup> and the  $\alpha/(\alpha + \beta)$  ratio was 0.7–c. 1.0. No diffraction peaks from Si,  $SiO_2$  or  $Si_2ON_2$ were detected in these patterns.

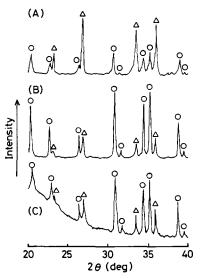


Fig. 2. X-Ray diffraction patterns of the products obtained from carbothermal reduction and nitridation of diatomaceous earth at 1350°C. (A) Si<sub>3</sub>N<sub>4</sub> powder, (B) inside whisker and (C) outside whisker;  $\bigcirc$ ,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>;  $\triangle$ ,  $\beta$ -Si<sub>3</sub>N<sub>4</sub>.

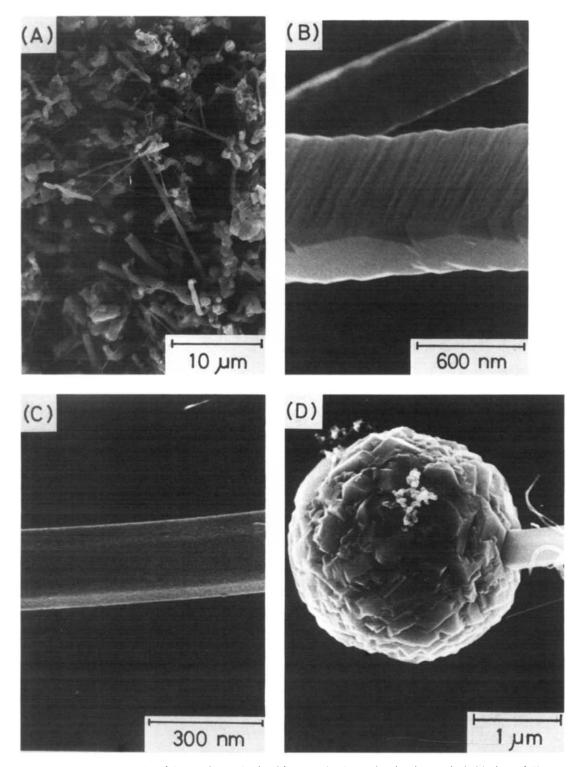


Fig. 3. Scanning electron micrographs of the products obtained from carbothermal reduction and nitridation of diatomaceous earth. (A) Powder, (B) inside whisker, (C) outside whisker and (D) droplet on the outside whisker.

As can be seen from the scanning electron micrographs of nitrided products, shown in Fig. 3(A),  $Si_3N_4$  powder consisted of spherical particles with a uniform distribution of around  $1.0 \,\mu$ m. A few fiber-like  $Si_3N_4$  particles were also formed, of the order of  $10 \,\mu$ m in length. The dimensions of the inside whiskers were distributed widely, and were typically  $10 \,\mu$ m in diameter and  $0.5-1.0 \,\text{mm}$  in length. As illustrated in Fig. 3(B), the inside whiskers were quite rectilinear in shape and the cross-sectional view was rectangular. Most inside whiskers

had a dentate edge, some of them branched out. On the other hand, no droplets were ever observed on the inside whiskers. These facts suggest that the inside whiskers were formed by direct deposition of SiO through the vapor-solid (VS) mechanism.<sup>9</sup> The outside whiskers were  $0.2-2.0 \,\mu$ m in diameter and 10 mm in length with hexagonal cross-section (Fig. 3(C)), and they had relatively smooth surfaces without the dentate edge observed in the inside whiskers. A few whiskers had droplets on top. In addition, the droplet was observed as an aggregate

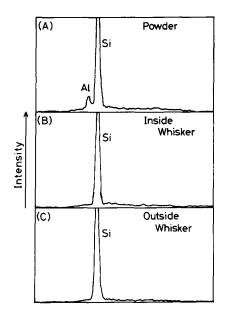


Fig. 4. EDX analysis results of the products obtained from carbothermal reduction and nitridation of diatomaceous earth. (A) Powder, (B) inside whisker and (C) outside whisker.

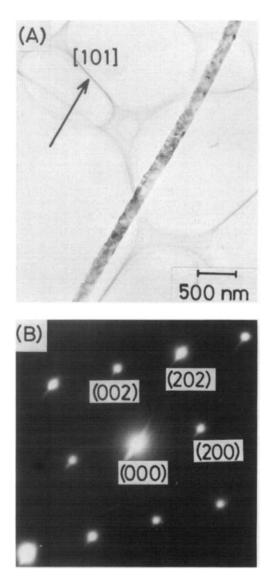


Fig. 5. Bright-field TEM image (A) and electron diffraction patterns (B) of inside whisker, beam direction along [010].

of small tips by high resolution scanning electron microscopy (Fig. 3(D)).

Energy dispersive X-ray spectroscopy showed that the droplets contained Fe,<sup>6</sup> and both inside and outside whiskers contained Si only (Fig. 4(B), (C)). Furthermore, X-ray diffraction patterns supported the conclusion that inside and outside whiskers did not form solid solutions by substitution of Al for Si, because the peaks of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> in both whiskers were not shifted in the patterns.<sup>10</sup> Thus, it was considered that other metal impurities, contained in the original diatomaceous earth, were preserved in the powder, which the EDX results supported (Fig. 4(A)). Judging from these results, the outside whiskers are thought to have grown at the vapor (SiO,  $N_2$ ,  $NH_3$ , CO), liquid (Fe droplet) and solid (Si<sub>3</sub>N<sub>4</sub> whisker) interface. It is known that Fe promotes whisker growth.<sup>11</sup> Hence, outside whisker growth is activated by Fe impurities contained in the original diatomaceous earth.

Two examples of the microstructures found at the whisker surfaces are illustrated by the bright-field

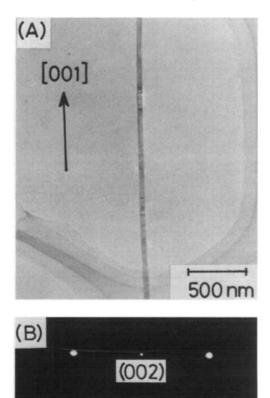


Fig. 6. Bright-field TEM image (A) and electron diffraction patterns (B) of outside whisker, beam direction along [010].

(000)

(200)

TEM micrographs shown in Fig. 5(A) and Fig. 6(A). At the same time, two types of whiskers were also analyzed by electron diffraction. The figures show two electron diffraction patterns taken for a beam direction along [010] from an inside (Fig. 5(B)), and an outside whisker (Fig. 6(B)). Both (002) and (200) reflections appeared. Single crystals examined in this study were shown to be only  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>. Moreover, transitions from  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> to  $\beta$ -Si<sub>3</sub>N<sub>4</sub> were not observed via bright-field TEM micrographs. These patterns indicated that whiskers were  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>, and completely single crystals of a hexagonal unit. Furthermore, micrographs revealed that the inside and outside whiskers have preferentially grown along the directions of [101] and [001] respectively.

Figure 7 shows high-resolution transmission electron micrographs (HRTEM) of the inside and outside whiskers. No dislocations, stacking faults or other defects were noted in the inside and outside whiskers. The inside whiskers have saw teeth edges, and the angle between the edge and the (200) plane of the inside whisker was determined to be  $36^{\circ}$  (Fig.

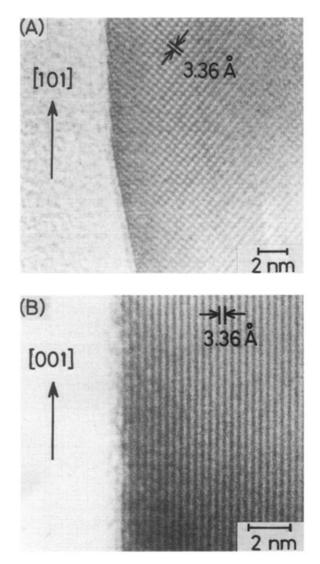


Fig. 7. HRTEM image of the  $Si_3N_4$  whiskers. The electron beam is parallel to [010] of (A) inside and (B) outside whisker.

7(A)). On the other hand, the edge face of the outside whiskers was parallel to the (200) plane (Fig. 7(B)), which was observed as a striped pattern with the interplane spacing of 0.336 nm. These observations agree well with the results of the electron diffraction of the whiskers. At the same time, the lattice parameter of this outside whisker was calculated to be  $a_0 = 0.776$  nm. This compares favorably with the accepted values of  $a_0 = 0.7758$  nm (ASTM Card No. 9-250,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub>).

#### 4 Conclusion

Two types of silicon nitride whiskers, which were prepared from diatomaceous earth, were successfully observed through high-resolution SEM and TEM imaging techniques. These observations revealed that the individual whiskers were single crystals of extreme perfection. Stereographic analysis indicated that the [101] crystallographic direction coincided with the inside whisker axis, the other [001] with outside whisker axis.

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